

xaminer nitiats'	AAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAA	Number Kind Code ² (il known) US-6,652,521 US-6,632,193 US-6,585,735 US-6,575,968 US-6,554,829 US-6,533,784 US-6,527,767 US-6,511,480 US-6,500,176 US-6,468,275 US-6,464,704 US-6,458,130 US-6,458,128	Publication Date MM-DD-YYYY 11-25-2003 10-14-2003 07-01-2003 06-10-2003 04-29-2003 03-18-2003 01-28-2003 12-31-2002 10-22-2002 10-15-2002 10-01-2002	Name of PApplicant of CI SCHU DAVISOI FRAZIEF EGGERS SCHULZ TRUCKA WANG TETZLAF TRUCKA WAMPLE SCHM	Ited Document JIZE N ET AL. R ET AL. B ET AL. I ET AL.	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AT	AB AC AD AE AF AG AH AI AJ AK AL AM AN AO	US-6,632,193 US-6,585,735 US-6,575,968 US-6,554,829 US-6,533,784 US-6,527,767 US-6,511,480 US-6,500,176 US-6,468,275 US-6,464,704 US-6,458,130 US-6,458,128	10-14-2003 07-01-2003 06-10-2003 04-29-2003 03-18-2003 03-04-2003 01-28-2003 12-31-2002 10-22-2002 10-15-2002	DAVISOI FRAZIEF EGGERS SCHULZ TRUCKA WANG TETZLAF TRUCKA WAMPLE	NET AL. RET AL. BET AL.	
	AC AD AE AF AG AH AI AJ AK AL AM AN AO	US-6,585,735 US-6,575,968 US-6,554,829 US-6,533,784 US-6,527,767 US-6,511,480 US-6,500,176 US-6,468,275 US-6,464,704 US-6,458,130 US-6,458,128	07-01-2003 06-10-2003 04-29-2003 03-18-2003 03-04-2003 01-28-2003 12-31-2002 10-22-2002 10-15-2002	FRAZIEF EGGERS SCHULZ TRUCKA WANG TETZLAF TRUCKA WAMPLE	RET AL. BET AL. I ET AL. ET AL. FET AL. IF ET AL.	
	AD AE AF AG AH AI AJ AK AL AM AN AO	US-6,575,968 US-6,554,829 US-6,533,784 US-6,527,767 US-6,511,480 US-6,500,176 US-6,468,275 US-6,464,704 US-6,458,130 US-6,458,128	06-10-2003 04-29-2003 03-18-2003 03-04-2003 01-28-2003 12-31-2002 10-22-2002 10-15-2002	EGGERS SCHULZ TRUCKA WANG TETZLAF TRUCKA WAMPLE	E ET AL. I ET AL. ET AL. F ET AL. I ET AL.	
	AE AF AG AH AI AJ AK AL AM AN AO	US-6,554,829 US-6,533,784 US-6,527,767 US-6,511,480 US-6,500,176 US-6,468,275 US-6,464,704 US-6,458,130 US-6,458,128	04-29-2003 03-18-2003 03-04-2003 01-28-2003 12-31-2002 10-22-2002 10-15-2002	SCHULZ TRUCKA WANG TETZLAF TRUCKA WAMPLE	E ET AL. I ET AL. ET AL. IF ET AL. I ET AL.	
	AF AG AH AI AJ AK AL AM AN	US-6,533,784 US-6,527,767 US-6,511,480 US-6,500,176 US-6,468,275 US-6,464,704 US-6,458,130 US-6,458,128	03-18-2003 03-04-2003 01-28-2003 12-31-2002 10-22-2002 10-15-2002	TRUCKA WANG TETZLAF TRUCKA WAMPLE	I ET AL. ET AL. F ET AL.	
	AG AH AI AJ AK AL AM AN	US-6,527,767 US-6,511,480 US-6,500,176 US-6,468,275 US-6,464,704 US-6,458,130 US-6,458,128	03-04-2003 01-28-2003 12-31-2002 10-22-2002 10-15-2002	WANG TETZLAF TRUCKA WAMPLE	ET AL. FF ET AL. II ET AL.	
	AH AI AJ AK AL AM AN AN	US-6,511,480 US-6,500,176 US-6,468,275 US-6,464,704 US-6,458,130 US-6,458,128	01-28-2003 12-31-2002 10-22-2002 10-15-2002	TETZLAF TRUCKA WAMPLE	F ET AL.	
	AI AJ AK AL AM AN AO	US-6,468,275 US-6,464,704 US-6,458,130 US-6,458,128	12-31-2002 10-22-2002 10-15-2002	TRUCKA WAMPLE	I ET AL.	
	AJ AK AL AM AN AO	US-6,468,275 US-6,464,704 US-6,458,130 US-6,458,128	10-22-2002 10-15-2002	WAMPLE		
	AK AL AM AN AO	US-6,464,704 US-6,458,130 US-6,458,128	10-15-2002		RETAL	
	AL AM AN AO	US-6,458,130 US-6,458,128		SCHM		
	AM AN AO	US-6,458,128	10-01-2002	, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	ALTZ	
	AM AN AO	US-6,458,128		FRAZIEF	RETAL	
	AN AO		10-01-2002	SCHU	JLZE	
	AO	US-6,451,018	09-17-2002	LANDS	ET AL.	~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~
		US-6,409,725	06-25-2002	KHANDKA	AR ET AL.	
+-	ÁP	US-6,398,779	06-04-2002	BUYSSE	ET AL.	
1	AQ	US-6,352,536	03-05-2002	BUYSSE	ET AL.	
1	AR	US-6,350,264	02-26-2002	НОО	VEN	
	AS	US-6,334,861	01-01-2002	CHANDLE		
+ +	AT.	US-6,328,703	12-11-2001	MURA		
+-+	AU	US-6.296,640	10-02-2001	WAMPLE		
+	AV	US-6.277,117	08-21-2001	TETZLAF		
++	AW	US-6,273,887	08-14-2001	YAMAUC		
	AX	US-6,270,497	08-07-2001	SEKINO		
+	AY	US-6,193,709	02-27-2001	MIYAWA		
++	AZ	US-6,190,386	02-20-2001	RYD		
	BA	US-6,187,003	02-13-2001	BUYSSE		
	BB	US-6,179,837	01-30-2001	HOO		
+	BC	US-6,179,835	01-30-2001	PANESCI		
	BD	US-6,179,834	01-30-2001	BUYSSE		
+	BE	US-6,176,857	01-30-2001	ASH		
+	BF	US-6,174,309	01-16-2001	WRUBLEW		
	BG	US-6,162,220	12-19-2000	NEZ		
-	BH	US-6,152,923	11-28-2000	RY		
	BI	US-6,132,923 US-6,139,508	10-31-2000	SIMPSON		
\dashv		US-6,139,508 US-6,113,598	09-05-2000	BAK		
	BJ		07-11-2000	HOO		
	BK	US-6,086,586	06-13-2000	LEVINE		
	BL	US-6,074,389				
\rightarrow	ВМ	US-6,039,733	03-21-2000	BUYSSE		•
+	BN	US-6,019,758 US-5,947,984	02-01-2000 09-07-1999	SLA WHII		
xaminer	7	/Alex Toy/	V3-V/-1333 .	Date	09/15/	10000

EXAMINER: Initial If reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional), Kind Codes of U.S. Patent Documents at www.uspio.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. A Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached. 60216914 v1

Substitute	for form 1449A	/PTO			Complete if Known	
				Application Number	10/781,925	
INFO	RMATIO	N DISC	LOSURE	Filing Date	February 19, 2004	
STAT	EMENT	BY AP	PLICANT	First Named Inventor	Truckai, Csaba	
				Art Unit	Unassigned	
(use as many	sheets as ne	cessary)	Examiner Name	Unassigned	
Sheet	2	of	5	Attorney Docket Number	021447-000810US	

		Document Number	D. A. II II	Name of P		Pages, Columns, Lines, Where
Examiner trillals*	Cite No.	Number Kind Code ² (if Imown)	Publication Date MM-DD-YYYY	Applicant of Ci		Relevant Passages or Relevant Figures Appear
AT	BP	US-5,911,719	06-15-1999	EGG		
1	BQ	US-5,833,690	11-10-1998	YATES	ET AL.	
	BR	US-5,807,392	09-15-1998	EGG		
	BS	US-5,800,449	09-01-1998	WA		
	BT	US-5,797,938	08-25-1998	PARASCH.	AL ET AL.	
	BU	US-5,776,130	07-07-1998	BUYSSE	ET AL.	
	BV	US-5,766,166	06-19-1998	HOO	VEN	
	BW	US-5,755,717	05-26-1998	YATES	ET AL.	
	BX	US-5,735,848 .	04-07-1998	YATES	ET AL.	
	BY	US-5,716,366	02-10-1998	YA1	res	
	BZ	US-5,693,051	12-02-1997	SCHULZ	E ET AL.	
	CA	US-5,674,220	10-07-1997	FOX E	T AL.	•
	СВ	US-5,624,452	04-29-1997	YA	res	
	CC	US-5,611,798	03-18-1997	EGG	ERS	
	CD	US-5,593,406	01-14-1997	EGGERS	ET AL.	
	CE	US-5,573,535	11-12-1996	VIKL	UND	
	CF	US-5,571,153	11-05-1996	WALL	STEN	
	CG	US-5,531,744	07-02-1996	NARDELI	A ET AL.	
	СН	US-5,507,106	04-16-1996	FC	X	
_	CI	US-5,480,398	01-02-1996	EGG	ERS	
	CJ	US-5,480,397	01-02-1996	EGGERS	ET AL.	
	СК	US-5,458,598	10-17-1995	FEINBER	G ET AL.	
	CL	US-5,451,224	09-19-1995	GOBLE	ET AL.	
_	СМ	US-5,445,638	08-29-1995	RYDELL	ET AL.	
	CN	US-5,443,463	08-22-1995	STERN	ET AL.	
-	CO	US-5,417,687	05-23-1995	NARDELI	A ET AL.	
_	CP	US-5,403,312	04-04-1995	YATES	ET AL.	
	CO	US-5,389,098	02-14-1995	TSURUT.	A ET AL.	
	CR	US-5,364,389	11-15-1994	ANDE	RSON	
	CS	US-5,360,428	11-01-1994	HUTCHIN	ISON, JR.	
	CT	US-5,336,221	08-09-1994	ANDE		
	CU	US-5,324,289	06-28-1994	EGG	ERS	
1	CV	US-5,308,311	05-03-1994	EGGERS		
	CW	US-5,306,280	04-26-1994	BREGEN		
	CX	US-5,290,286	03-01-1994	PAR		
	CY	US-5,269,780	12-14-1993	RO		
_	CZ.	US-5,267,998	12-07-1993	HAC		
	DA	US-5,258,006	11-02-1993	RYDELI		
	DB	US-5,207,691	05-04-1993	NARD		
+	DC	US-5,201,900	04-13-1993	NARD		
\mathbf{W}	DD	US-5,190,541	03-02-1993	ABELE		
xaminer Signature	Ť	/Alex Toy/	<u> </u>	Date Considered	09/15	/2006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Substitut	te for form 1449A/	РТО		Complete if Known			
•				Application Number	10/781,925		
INFO	DRMATIO	N DISC	LOSURE	Filing Date	February 19, 2004		
STA	TEMENT	BY API	PLICANT	First Named Inventor	Truckai, Csaba		
				Art Unit	Unassigned		
	(use as many s	sheets as ne	cessary)	Examiner Name	Unassigned		
Sheet	3	of	5	Attorney Docket Number	021447-000810US		

		Document Number				Danie Calumna Linea Milhara
Examiner Initials*	No.	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Pa Applicant of Cit		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AT	DE	US-5,151,102	09-29-1992	KAMIYAM		
	DF	US-5,147,357	09-15-1992	ROSE E		
	DG	US-5,147,356	09-15-1992	ВНА	TTA	
	DH	US-5,122,137	06-16-1992	LEN	XOX	
	DI	US-5,104,025	04-14-1992	MAIN E	ET AL.	
	DJ	US-5,085,659	02-04-1992	RYD		
	DK	US-5,057,107	10-15-1991	PARINS		
	DL	US-5,057,106	10-15-1991	KASEVIC		
	DM	US-5,009,656	04-23-1991	REIM		
	DN	US-4,985,030	01-15-1991	MELZER	ET AL.	
	DO	US-4,969,885	11-13-1990	FAR	UN	
	DP	US-4,958,539	09-25-1990	STASZ		
	DQ	US-4,940,468	07-10-1990	PETII		
	DR'	US-4,887,612	12-19-1989	ESSER	ET AL.	
	DS	US-4,850,353	07-25-1989	· STASZ	ET AL.	
	DT	US-4,848,337	07-18-1989	SHAW	ET AL.	
	DU	US-4,785,807	11-22-1988	BLA	NCH	
	DV	US-4,763,669	08-16-1988	JAEC	GER	
	DW	US-4,691,703	09-08-1987	AUTH I	ET AL.	
	DX	US-4,671,274	06-09-1987	SOROCI	HENKO	
	DY	US-4,655,216	04-07-1987	TISC	HER	
	DZ	US-4,633,874	01-06-1987	CHOW	ET AL.	
	EA	US-4,608,981	09-02-1986	ROTHFUS	S ET AL.	
	EB	US-4,590,934	05-27-1986	MALIS	ET AL.	
_	EC	US-4,492,231	01-08-1985	AU"	TH	
	ED	US-4,375,218	03-01-1983	DIGERO	OMIMO	
	EE	US-4,370,980	02-01-1983	LOTT	rick	
\rightarrow	EF	US-4,353,371	10-12-1982	COSA	MAN	
	EG	US-4,271,838	06-09-1981	LASNER	ET AL.	
_	EH	US-4,232,676	11-11-1980	HERC	ZOG	
	El	US-4,231,371	11-04-1980	LIF		
_	EJ	US-4,219,025	08-26-1980	JOHN	ISON	
	EK	US-4,198,957	04-22-1980	CAGE I	ET AL.	
_	EL	US-4,092,986	06-06-1978	SCHNEID		
	EM	US-3,826,263	07-30-1974	CAGE I	ET AL.	
+	EN	US-3,768,482	10-30-1973	SHA		
	EO	US-3,730,188	05-01-1973	ELLN		·
	EP	US-3,685,518	08-22-1972	BEUERL		
	EQ	US-3,651,811	03-28-1972	HILDEBRAN		
	ER	US-2,031,682	02-25-1936	WAPPLE		
\mathcal{M}	ES	US-1.881,250	10-04-1932	TOMLI		
xaminer Signature	Ť	/Alex Toy/		Date Considered	09/15/	/2006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through dilation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Aid of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Substitute	e for form 1449A/F	то			Complete If Known
				Application Number	10/781,925
INFO	RMATIO	N DIS	CLOSURE	Filing Date	February 19, 2004
STA	TEMENT	BY AF	PLICANT	First Named Inventor	Truckai, Csaba
				Art Unit	Unassigned
	(use as many s	heets as n	ecessary)	Examiner Name	Unassigned
Sheet	4	of	5	Attorney Docket Number	021447-000810US

			U.S. PATENT DO	CUMENTS+	
Franks	0740	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Examiner Initials*	Cite No.1	Number Kind Code ² (# known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
AT	ET	US-1,798,902	03-31-1931	RANEY	
	EU	US-1,586,645	06-01-1926	BIERMAN	
	EV	US-659,409	10-09-1900	MOSHER	
	EW	US-2003/0144652 A1	07-31-2003	BAKER ET AL.	
	EX	US-2003/0139741 A1	07-24-2003	GOBLE ET AL.	
	EY	US-2003/0125727 A1	07-03-2003	TRUCKAI ET AL.	
	EZ	US-2003/0114851 A1	06-19-2003	TRUCKAI ET AL.	
_	FA	US-2003/0078578 A1	04-24-2003	TRUCKAI ET AL.	
\neg	FB	US-2003/0078577 A1	04-24-2003	TRUCKAI ET AL.	
	FC	US-2003/0078573 A1	04-24-2003	TRUCKAI ET AL.	
\neg	FD	US-2003/0069579 A1	04-10-2003	TRUCKAI ET AL.	
	FE	US-2003/0055417 A1	03-20-2003	TRUCKAI ET AL.	
	FF	US-2003/0050635 A1	03-13-2003	TRUCKAI ET AL.	
	FG	US-2003/0018327 A1	01-23-2003	TRUCKAI ET AL.	
	FH	US-2002/0177848 A1	11-28-2002	TRUCKAI ET AL.	
	FI	US-2002/0169392 A1	11-14-2002	TRUCKAI ET AL.	
	FJ	US-2002/0120266 A1	08-29-2002	TRUCKAI ET AL.	·
	FK	US-2002/0115997 A1	08-22-2002	TRUCKAI ET AL.	
—	FL	US-2002/0052599 A1	05-02-2002	GOBLE	

					FOREIGN PA	TENT DOCUME	INTS		
_ 1			For	eign Patent Docu	ument		Name of Patentee or	Pages, Columns, Lines,	
Examir Initials			Country Code ³	Number ⁴	Kind Code ⁴ (# known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T⁰
	$\neg \top$	FM	EP	517 244	Bi	03-20-1996			
		FN	EP	518 230	Bl	05-15-1996			
		FO	EP	341 446	A2	04-14-1989			abst. only
		FP	wo	93/08754	Al	05-13-1993			
		FQ	wo	94/24951	Al	11-10-1994			
		FR	wo	94/24949	Al	11-10-1994			
	7	FS	SU	. 575103		10-24-1977			abst. only
	-	FF	- CU	342617		·			
		FU	· FR	2536924	Al	06-01-1984			abst. only
		FV	FR	2647683	Al	12-07-1990			abst. only
		FW	GB	. 2037167	Α	07-09-1980			
		FX	GB	2066104	Α	07-08-1981			
V	/	FY	GB	2161082	Α	01-08-1986			
$-\mathbf{A}$	\neg	FZ	GB	2133290	Α	07-25-1984			

Examiner Signature	/Alex Toy/	Date Considered 09/15/2006	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional).

Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04.

Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

Applicant is to place a check mark here if English language Translation is attached.

60216914 v1

Substitute f	or form 1449B/P	то			Complete if Known	
			001105	Application Number	10/781,925	
_		_	LOSURE	Filing Date	February 19, 2004	
STAT	EMENT I	BY APP	PLICANT	First Named Inventor	Truckai, Csaba	_
				Art Unit	Unassigned	
(u	se as many s	heets as ne	cessary)	Examiner Name	Unassigned	
Sheet	5	of	5	Attorney Docket Number	021447-000810US	

<u> </u>		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	т
AT	GA	CORSON, S.L., "Two new laparoscopic instruments: Bipolar sterilizing forceps and uterine manipulator," Medical Instrumentation, 11(1):7-8 (1977).	
AT	GB	BURTON, J.D.K., "New Inventions," The Lancet, pages 650-651 (1959).	
AT	GC	NARDELLA, P.C., "Radio Frequency Energy and Impedance Feedback," <u>Proc. SPIE, Catheter-Based Sensing and Imaging Technology</u> , 1068: 42-48 (1989).	
AT	GD	VALLFORS et al., "Automatically controlled bipolar electrocoagulation - 'COA-COMP'," Neurosurg Rev., 187-190 (1984).	
		·	

Examiner Signature	/Alex Toy/	Date Considered	09/15/2006	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.